



2015 – ACCESS TO HYPOTHECATION AND TRADE PLATFORMS

Private & Confidential Information

For those of you, clients and brokers alike, that achieved success with our Hypothecation and Trade Platforms in prior years, we thank you and we compliment you, as you are among the 2% in this world that have actually achieved this success. The other 98% have not achieve any success because (a) they do not have the assets that they claim to have or (b) they cannot follow the requirements and provide the information and documentation requested.

For 2015 and forward, there are some new requirements and new obligations on the part of the clients and/or the brokers before serious consideration will be given for access to our Hypothecation and Trade Platforms. Accordingly, this document is provided to all of you in the hopes of (a) making your life easier, (b) making sure that you have a clear understanding of what is required, and (c) making your chances of success greater than in the past. However, if you choose not to follow these instructions and requirements, then you will only have yourselves to blame for your failure to achieve access to our Hypothecation and Trade Platforms.

Note that we can transact with assets in amount from USD3.5 Million, EUR3 Million to EUR10 Billion (or USD equalivents). However, you must first provide all of the requested and required information. The assets are as follows: Cash Funds in USD or EURO, Bank Guarantees, Standby Letters of Credit, Certificates of Deposit, Brazilian LTNs, and, if currently on Euroclear or Clearstream with valid ISIN and CUSIP Numbers, Bonds, MTNs and LTNs. However, each type of asset has its own, unique requirements that must be met before the asset can be accepted. Before anyone is going to obtain any serious consideration, the following information MUST be provided:

Please remember, the client is the individual or entity that owns the asset. There are no Powers of Attorney accepted in this business. So, if the owner is an individual, that person is both the client and the signatory. If the client is an entity, that entity is the client and the signatory must be empowered via a corporate resolution properly issued by the entity.

THE CLIENT – We need to know the following:

- Name of the Client
- Individual or Entity
- If Individual, the Nationality and Country of Residency of that individual
- If entity, the Country of Organization of that entity and the country where the signatory resides

THE ASSET – We need to know the following:

- What is the Asset – Cash Funds or Instrument.
- If Cash Funds – What is the amount and currency of the Cash Funds; what is the name of the bank that is holding the Cash Funds
- If Instrument – What is the Instrument; what is the amount and currency of the Instrument; what is the name of the issuing bank (entity) of the Instrument; what is the name of the current safekeeping location of the Instrument?

PROOF OF THE ASSET AND PROOF OF THE OWNERSHIP OF THE ASSET - We need proof of both the Asset and the Ownership of the Asset

- If the Asset is Cash Funds, then we need to receive a copy of a current Bank Statement, issued in the name of the Client
- If the Asset is an Instrument that is not on Euroclear, then we need to receive a copy of the actual and issued instrument and a copy of the actual and issued Safekeeping Receipt from the bank holding the Instrument
- If the Asset is an Instrument that is on Euroclear, then we need to receive a copy of the Client's Account Statement from the Securities Firm where the Instrument is electronically posted, along with the ISIN and CUSIP Number and Page 1 of 3 of the Current Bloomberg Report
- If the Asset is a Brazilian LTN, we need to see all of the LTN Documents, including but not limited to the Certificate of Recognition issued by Tesouro Nacional

WHAT DOES THE CLIENT WANT TO DO WITH THE ASSET? – Given that each client is different, we need to have some information on what they Client wants to do with the Asset.

WHAT WILL THE CLIENT’S BANK (SECURITIES FIRM) ALLOW THE CLIENT TO DO WITH THE ASSET? - Remember, that the bank or the securities firm that is holding the Asset must be able to perform and they each have their own way of wanting to perform.

AN EXAMPLE OF HOW TO PROVIDE THIS INFORMATION AND THE REQUEST FOR ACCESS TO OUR HYPOTHECATION AND TRADING PLATFORMS –

This is only an example, but a good one.

The Client, Mr. Robert K. Smith, is a German Nationalist that currently resides in Frankfurt, Germany. He is the sole owner (personal client) of a EUR250,000,000 Bank Guarantee issued by Credit Suisse Bank and currently on deposit at Deutsche Bank, Frankfurt. (Please see the attached copies of the Bank Guarantee issued by Credit Suisse and the Safekeeping Receipt issued by Deutsche Bank as proof of the Asset and proof of ownership of the Asset). Mr. Smith desires to have this Bank Guarantee hypothecated by your organization; receive a small amount of cash funds at the time of hypothecation (perhaps 2 to 3% of the face value); and, place the balance of the funds into your trade platform for the purpose of raising money to build his project of low income housing in Germany. Mr. Smith has spoken with his banker at Deutsche Bank and they are prepared to fully cooperate by providing a bank letter attesting to the value of the Bank Guarantee following by sending a SWIFT MT 799 Pre-Advise to the receiving bank and then sending the Bank Guarantee via a SWIFT MT 760. Further, Mr. Smith has discussed the fees required by Deutsche Bank and is fully prepared to pay these fees as required. Mr. Smith seeks access to your Hypothecation and Trade Platforms with the above Asset.

IF THE CLIENT OR THE BROKERS CANNOT OR WILL NOT PROVIDE THE ABOVE REQUESTED AND REQUIRED INFORMATION, THEN WE WILL NOT BE INTERESTED IN THIS TRANSACTION FOR OUR HYPOTHECATION AND TRADE PLATFORMS, PERIOD.

Once we have received all of the above information, clearly articulated as set forth in the above example, we will respond and provide you with the following:


- Required Documents on the Asset and from the Client, all specific to the Asset
- Terms, Conditions and Procedures
- Financial Project Charts for both the Hypothecation and Trading Transactions

GOOD LUCK TO ALL!

NOTE: When you are depositing your funds in the above programs with \$50,000 Minimum USD up to any amount less than \$3,500,000, The **Six Figure Club** is considered 100% of the time to be the **CLIENT** in all cases and will provide all the above requirements as the investor/depositor. Any funds of or beyond the minimum USD\$3,500,000 will be entitled to even greater ROI as one of our “Private Members.”

“Proof of Funds” is a requirements and completion of the  [Total Needs Analysis form](#) and other documents may be required to determine your qualification status.

*****This document is only provided to those individuals and or business entities in order to establish if you may qualify as an applicant within The Six Figure Club and receive the secured returns as projected and defined as part of our N.C.N.D. and related documentation.**

 **Congratulations** on making the correct and educated choice over the costly uneducated choices of the past!